



ATTORNEY'S DOCKET NO. I00168/70061 PCL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John W. Arba et al.  
Serial No: 09/954,986  
Conf. No.: 7682  
Filed: September 18, 2001  
For: ELECTRODEIONIZATION DEVICE AND METHODS OF USE  
Examiner: Not Yet Assigned  
Art Unit: 1723

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 2nd day of August, 2002.

S. H.  
Signature

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

Information Disclosure Statement  
 Form PTO-1449 and References  
 Return Receipt Postcard

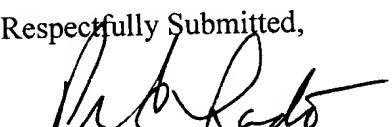
RC 1700 MAIL ROOM

RECEIVED  
AUG 14 2002

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is required. If a fee is required, it may be charged to Deposit Account No. 500214. A duplicate of this sheet is enclosed.

Respectfully Submitted,

  
Peter C. Lando, Reg. No. 34,654  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

*COPY OF PAPERS  
ORIGINALLY FILED*

xNDD  
633465.1



ATTORNEY'S DOCKET NO: I00168/70061 PCL *J.W.*  
*#6*  
*8-21-02*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John W. Arba et al.  
Serial No: 09/954,986  
Conf. No.: 7682  
Filed: September 18, 2001  
For: ELECTRODEIONIZATION DEVICE AND METHODS OF USE  
Examiner: Not Yet Assigned  
Art Unit: 1723

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 1<sup>st</sup> day of August, 2002.

*J. W.*  
Signature

Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant  
requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first  
Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information  
listed on the attached form PTO-1449 (modified). The order of presentation of the references  
should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise  
indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

630721.1

*COPY OF PAPERS  
ORIGINALLY FILED*

RECEIVED  
AUG 14 2002  
TC 1700 MAIL ROOM

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Wilkins et al.*, Applicant(s)

By:

  
Peter C. Lando, Reg No. 34,654  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Dated: August 2, 2002  
xNDD

630725.1

FORM PTO-1449/A and B (Modified)

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICATION NO.: 09/954,986

ATTY. DOCKET NO.: I00168/70061

FILING DATE: September 18, 2001

APPLICANT: John W. Arba et al.

GROUP ART UNIT: 1723

EXAMINER: Not Yet Assigned

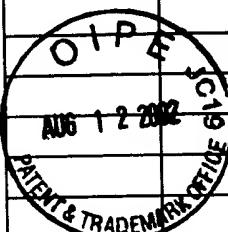
Sheet

1

of

1

## U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	A1	4,751,153	B1	Roth	06/14/1988
 <i>COPY OF PAPERS ORIGINALLY FILED</i>					
				FC 1700 MAIL ROOM	AUG 14 2002 RECEIVED

## FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	A2	CA	2316012	A1	Kurita Water Industries Ltd., JP	11/02/2001	
	A3	GB	893051	A2	John Thompson-Kennicott Limited	04/04/1962	
	A4	JP	7155750		Mitsubishi Rayon Co., Ltd.	06/20/1995	
	A5	JP	09253643		Kurita Water Ind., Ltd.	09/30/1997	

## OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	A6	International Application No. PCT/US 01/30053, International Search Report dated 11/06/2002	

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.